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#6
8-27-02

Practitioner's Docket No. 7038 PATENT 20.1**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application

of _____

Inventor(s)

for _____

Title of invention

the specification of which is being transmitted herewith

OR

In re application of: James Anthony Larkin et al

Application No.: 010 /019,919 Group No.: 3613

Filed: April 9, 2002

Examiner:

For: METHOD AND APPARATUS FOR MOUNTING A BRAKE DISC
WITH RESILIENT BIASING MEANS

Assistant Commissioner for Patents

Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT
SUPPLEMENTAL****CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10****(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

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37 C.F.R. § 1.10*

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Signature

Date: June 14, 2002Nicole R. Schmoltz

(type or print name of person certifying)

(Information Disclosure Statement [6-1]—page 1 of 10)

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant within any one of the following time periods:

(1) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

(2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;

(3) Before the mailing date of a first Office action on the merits; or

(4) Before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114."

37 C.F.R. § 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) each inventor named in the application;

(2) each attorney or agent who prepares or prosecutes the application; and

(3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).

NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(d).

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
 - 7A. ☐ EPO Search Report
 - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections _____, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

(Information Disclosure Statement [6-1]—page 2 of 10)

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **four** of **ten**

Complete if Known

Application Number	10/019,919
Filing Date	April 9, 2002
First Named Inventor	James Anthony Larkin et al
Class Art Unit	3613
Examiner Name	
Attorney Docket Number	7038.3010.1

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		2,724,252		Schmal	11-22-1955	
		2,737,033		Bendall	03-06-1956	
		2,764,261		Bridges	09-25-1956	
		3,146,860		Wilson	09-01-1964	
		3,191,735		Wavak	06-29-1965	
		3,233,704		Strain et al	02-08-1966	
		3,642,101		Hauth	02-15-1972	
		3,754,624		Eldred	08-28-1973	
		3,837,420		Kobelt	09-24-1974	
		3,844,385		Szekely	10-29-1974	
		3,861,501		Brooks et al	01-21-1975	
		3,915,272		Maurice	10-28-1975	
		4,256,209		Lupertz	03-17-1981	
		4,318,306		Fischer	03-09-1982	
		4,479,569		Kummer et al	10-30-1984	
		4,534,457		Eltze et al	08-13-1985	
		4,576,255		Mery et al	03-18-1986	
		4,673,065		Gerard et al	06-16-1987	
		4,699,254		Mery	10-13-1987	
		4,844,206		Casey	07-04-1989	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			00/09900		PCT	02-24-2000		
			00/09903		PCT	02-24-2000		
			00/09904		PCT	02-24-2000		
			00/09905		PCT	02-24-2000		
			00/09909		PCT	02-24-2000		
			00/09911		PCT	02-24-2000		
			00/42332		PCT	07-20-2000		
			01/03295		PCT	01-11-2001		
			01/33096		PCT	05-10-2001		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet	five	of	ten
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			01/40671		PCT	06-07-2001		
			01/86165		PCT	11-15-2001		
			89/05924		PCT	06-29-1989		
			97/20150		PCT	06-05-1997		
			98/26191		PCT	06-18-1998		
			662,071		UK	11-28-1951		
			949,018		UK	02-05-1964		
			1,091,693		UK	11-22-1967		
			1,139,699		UK	01-08-1969		

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Date Considered

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Sheet	six	of	ten
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PATENT Attorney Docket Number 7038.3010.1

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			1,350,350		UK	04-18-1974		
			1,396,503		UK	06-04-1975		
			1,530,461		UK	11-01-1978		
			2,015,122		UK	09-05-1979		
			2,031,538		UK	04-23-1980		
			2,150,263		UK	06-26-1985		
			2,164,712		UK	03-26-1986		
			2,184,801		UK	07-01-1987		
			2,320,299		UK	06-17-1998		

Date
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Sheet	seven	of	ten
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
			2,320,300		UK	06-17-1998		
			2,320,301		UK	06-17-1998		
			2,340,561		UK	02-23-2000		
			2,340,563		UK	02-23-2000		
			2,346,940		UK	08-23-2000		
			2,361,973		UK	11-07-2001		
			1205345		GERMAN	11-18-1965		
			2039003		GERMAN	02-18-1971		
			3740373		GERMAN	06-09-1988		

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Sheet	eight	of	ten
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[illegible][illegible]

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Signature**

Date
Considered

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Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. § 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of:

- (i) Each U.S. patent application publication and U.S. and foreign patent;
- (ii) Each publication or that portion which caused it to be listed;
- (iii) For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion. . ."

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

- ☐ Exception(s) to above:
- ☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
 - ☐ Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement—Section 6. Copies of Listed Information Items Accompanying This Statement [6-1]—page 9 of 10)

Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this statement is

(check each applicable item)

- (a) ☐ the inventor(s) who signs below

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (c) ☒ the practitioner who signs below on the basis of the information:

(check each applicable item)

- ☒ supplied by the inventor(s).
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))
☒ in the practitioner's file.



SIGNATURE OF PRACTITIONER

Robert L. Stearns

(type or print name of practitioner)

5291 Colony Drive North

P.O. Address

Saginaw, Michigan 48603

Reg. No.: 36,937

Tel. No.: (989) 799-5300

Customer No.:

(Information Disclosure Statement—Section 10. Identification of Person(s) Making This Information Disclosure Statement [6-1]—page 10 of 10)